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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/578,860

09/05/2006

Ariel G. Notcovich

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NATH & ASSOCIATES  
112 South West Street  
Alexandria, VA 22314

EXAMINER

LAM, ANN Y

ART UNIT

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1641

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/578,860	<b>Applicant(s)</b> NOTCOVICH ET AL.	
	<b>Examiner</b> ANN Y. LAM	<b>Art Unit</b> 1641	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 11 May 2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 29-46 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 29-46 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>9/5/06</u> .  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Claim Objections***

Claims 33, 44 and 45 are objected to because of the following informalities: there should be a comma before "a dissociation" in each of these claims. Appropriate correction is required.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 29, 30, 33, 36, 37, 38, 40, 41, 42 and 46 are rejected under 35 U.S.C. 103(a) as being unpatentable over Malmqvist et al., 6,200,814, in view of Newgard et al., 6,110,707.

Malmqvist et al. teach controlling fluid flow over a sensing surface within a flow cell to position the fluid flow over one or more discrete sensing areas within the flow cell (col. 3, lines 14-18.) The term "sensitize" is referred to any process or activation of the sensing area that results in the sensing area being capable of specifically interacting with a desired analyte. The resulting surface is referred to as a "sensitized" area (col. 13, lines 31-35.) For example, the sensing area of the flow cell may be sensitized area

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of the flow cell cell may be sensitized by immobilization of an analyte-specific ligand (such as antigen, antibody, enzyme, DNA etc. (col. 13, lines 39-58.) Malmqvist et al. disclose that reagents used for sensitizing the sensing area (i.e., immobilization of an analyte-specific ligand) by directing flow through different inlets (some parallel, some orthogonal to each other) provided in the flow cell and that different regions can be sensitized with same or different ligands (col. 14, line 20 – col. 15, line 7; and col. 15, line 37 -.col. 16, line 27; see also figures 13A-13E.) A gradient of amount of ligands may be provided (col. 14, lines 26-37.) Malmqvist et al. disclose laminar flow techniques to direct the fluid flow but that other techniques may be employed (col. 18, lines 42-47.) Malmqvist et al. disclose that the apparatus can be used to study how multiple biomolecular complexes are formed and how they function, and measuring interactions for the formation of complexes can be by techniques such as SPR (surface plasmon resonance) detection, or fluorescence detection (col. 16, lines 50-59.) Malmqvist et al. disclose use of flows cells for kinetic measurements in general (col. 2, lines 24-31) and it is understood that kinetic measurements are also applicable to the Malmqvist et al. flow cell (see for example col. 8, lines 38-42.)

Thus, as to claims 29, 33, 37, 38, 40, Malmqvist et al. teach, as is also claimed by Applicant, the steps of adsorbing a first binding member at microspots (the different sensitized regions), presenting a second binding member (the binding partner to the immobilized ligand), with a plurality of concentration of both binding members among the plurality of spots (i.e., the gradient of ligands), obtaining data indicative of a binding reaction between the first and second binding members at the spots (i.e., the detection

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methods such as SPR and fluorescence detection), and it is understood that the method involves kinetic measurements as discussed above. It is noted that the sensitizing step (i.e., step of immobilizing the first ligand binding member) is performed by introducing a flow of the ligand through the flow cell as discussed above. Because the channel of the flow cell must have been formed at some point, and the channels are used to introduce the first binding member for adsorption, there is inherently a step of forming a first channel around a region containing the microspot. While Applicant's specification discloses a flow cell that is mountable on a surface, such limitations are not read into the claims as they are given their broadest reasonable interpretation. Thus, Malmqvist et al.'s activation step (i.e., sensitizing step) meets the limitations of Applicant's step (d) (A) (i) and (ii). It is understood that in the Malmqvist et al. disclosure, excess activating solution (first ligand binding solution) is removed which thus renders the flow cell suitable for subsequent assays.

However, Malmqvist et al. do not teach deactivating the microspot.

Newgard et al. however disclose that in coating a plate with either antigen or antibody, one will generally incubate the wells of the plate with a solution of the antigen or antibody, either overnight or for a specified period of hours. The wells of the plate will then be washed to remove incompletely adsorbed material. Any remaining available surfaces of the wells are then "coated" with a nonspecific protein that is antigenically neutral with regard to the test antisera. These include bovine serum albumin (BSA), casein and solutions of milk powder. The coating of nonspecific

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adsorption sites on the immobilizing surface reduces the background caused by nonspecific binding of antisera to the surface (col. 72, lines 4-14.)

Given the teachings of Newgard et al. regarding coating a surface with nonspecific protein to reduce background caused by nonspecific binding, the skilled artisan would utilize the same method taught by Newgard et al., while in regards to wells of a plate, to the invention of Malmqvist et al. to similarly improve the Malmqvist et al. invention to reduce background noise in order to obtain more accurate assay results. Such coating of nonspecific adsorption sites is equivalent to Applicant's deactivating step.

As to claim 30, SPR detection is disclosed by Malmqvist et al. (col. 16, lines 50-59.)

As to claim 36, obtaining reference data from a region of the surface not included in a microspot (i.e., another microspot used for control purposes) is disclosed by Malmqvist et al. (col. 14, lines 26-28.)

As to claim 41, forming a second channel perpendicular to the first channel is disclosed (see for example figure 11A, and see such perpendicular flows produced in figures 13A-13E.)

As to claims 42 and 46, a probe array is produced, as shown in figure 13E for example.

Claims 31, 35 and 43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Malmqvist et al., 6,200,814, in view of Newgard et al., 6,110,707, as applied to claim 29 above, and further in view of Lennox et al., 6,478,839

Malmqvist et al. disclose that the detection method may be SPR (surface Plasmon resonance) but does not specifically disclose the specific type of SPR method claimed, namely that the data indicative of a binding reaction is specifically SPR resonance angle. Lennox et al. disclose this type of SPR technique and also claims it in claim 7, reciting that regarding the SPR detection, the detector includes means for exciting surface plasmons at a plasmon resonance angle that is dependent on the optical properties of the metal film and attached monolayer, and a detector for detecting the shift in plasmon resonance angle produced by binding of ligand-binding agent to said ligand. Because Malmqvist et al. only disclose in general the use of SPR detection for detection of binding, the skilled artisan would look to the art, such as the Lennox et al. patent, for specific types of SPR that would allow for binding detection.

Claims 32, 44 and 45 are rejected under 35 U.S.C. 103(a) as being unpatentable over Malmqvist et al., 6,200,814, in view of Newgard et al., 6,110,707, as applied to claim 29 above, and further in view of Natesan et al., 20020048792.

Malmqvist et al. teach that the flow cell may be used for various assay purposes but do not specifically disclose that the assay is to determine dissociation constant.

Natesan et al. however teach in paragraph 0113 that a number of well-characterized assays are available for determining binding affinity, usually expressed as dissociation constant for DNA-binding proteins and the cognate DNA sequences to which they bind. While Malmqvist et al. disclose only in general the use of the flow cell for assay purposes, the skilled artisan would look to the art, such as the Natesan et al. patent, for specific types of assays to be performed.

Claims 34 and 39 are rejected under 35 U.S.C. 103(a) as being unpatentable over Malmqvist et al., 6,200,814, in view of Newgard et al., 6,110,707, and further in view of Siddigi et al., 5,541,113.

The combination of the teachings of Malmqvist et al. and Newgard et al. have been discussed above. However, neither Malmqvist et al. nor Newgard et al. teach activating a microspot by producing an electric field over the microspot.

Siddigi et al. however disclose that it is known that an electric field induces certain chemical reactions (col. 1, lines 51-56.) While the disclosure refers to a chemical reaction that can be detected, rather than for immobilizing a probe, the skilled artisan would recognize that an electric field would induce similar reactions in certain ligands that may be of interest in order to cause a reaction for immobilization purposes, and thus use of an electric field to induce reactions in the Malmqvist et al. invention would have been obvious to the skilled artisan.



***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ANN Y. LAM whose telephone number is (571)272-0822. The examiner can normally be reached on Mon.-Fri. 10-6:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Long Le can be reached on 571-272-0823. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Ann Y. Lam/  
Primary Examiner, Art Unit 1641